

08-16-01

Docket No.

102138-200

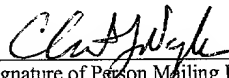
A.

**CERTIFICATION UNDER 37 CFR §1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date August 15, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL 897960288 US to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Clement J. Naples

Printed Name of Person Mailing Paper

  
 Signature of Person Mailing Paper

 Jc986 U.S. PTO  
 09/930518  
 08/15/01

**APPLICATION TRANSMITTAL**  
**UNDER 37 CFR §1.53(b) or 37 CFR §1.153**

**BOX PATENT APPLICATION**  
**COMMISSIONER FOR PATENTS**  
**WASHINGTON, D.C. 20231**

Dear Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) or 37 CFR §1.153 is the patent application of:

Inventor(s): CHRISTOPHER E. WOODS and  
 BARBARA DANZIGER

For: PERMISSION BASED DATA EXCHANGE

1. Type of Application:

- ☒ Original
 ☐ Design
 ☐ Continuation  
☐ Divisional
 ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. §119)

- ☐ The new application being transmitted herein claims the benefit of:  
☐ prior Foreign Application Serial No. [insert foreign serial number], filed on  
 in [insert COUNTRY OF ORIGIN]; or  
☐ PCT International Application designating at least one country other than  
 the United States with the Serial No. [insert PCT SERIAL NUMBER], filed  
 on .

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §120)

- ☒ The new application being transmitted herein claims the benefit of prior copending  
 U.S. application(s), U.S. Serial No.: 60/225689, filed on August 16, 2000.

4. Papers Enclosed Which are Required for Filing Under 37 CFR §1.53(b) or 37 CFR §1.153

62 page(s) of Specification

5 page(s) of Claims, having 31 total claims, including 3 independent claims and 28 dependent claims.

1 page(s) of Abstract

5 sheets of ☐ formal ☒ informal Drawings

5. Additional Papers Enclosed

- ☒ Return Receipt Postcard
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Copies of Cited Art
- ☐ Other: [insert TITLE OF ADDITIONAL PAPERS]

6. Inventor's Declaration/Power of Attorney

An Inventor's Declaration/Power of Attorney is ☒ enclosed or ☐ not enclosed,  
and ☐ is signed or ☒ not signed.

7. Assignment

- ☐ Enclosed is a recordation form and an assignment of the invention to [insert ASSIGNEE'S NAME], a corporation of the State of [insert STATE].

8. Small Entity Status

- ☒ Applicant claims Small Entity Status.

9. Fee Calculation (37 CFR §1.16)

CLAIMS AS FILED					
NUMBER FILED			NUMBER EXTRA	RATE	BASIC FEE
					\$710.00
TOTAL CLAIMS	31	-20	11	\$ 18.00	198.00
INDEPENDENT CLAIMS	3	-3	0	\$ 80.00	0.00
MULTIPLE DEPENDENT CLAIMS, if any				\$270.00	
TOTAL					908.00
REDUCTION FOR SMALL ENTITY BY 50%					454.00
TOTAL FILING FEE					\$454.00

10. Method of Payment of Fees

- ☐ Check in the amount of [insert CHECK AMOUNT].
- ☒ Charge Account No. 23-1665 in the amount of FOUR HUNDRED FIFTY FOUR AND NO/100 \$454.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR §§ 1.16, 1.17, 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to Customer Number:



27267

PATENT TRADEMARK OFFICE

Date: August 15, 2001

Respectfully Submitted,  
CHRISTOPHER E. WOODS, et al.

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